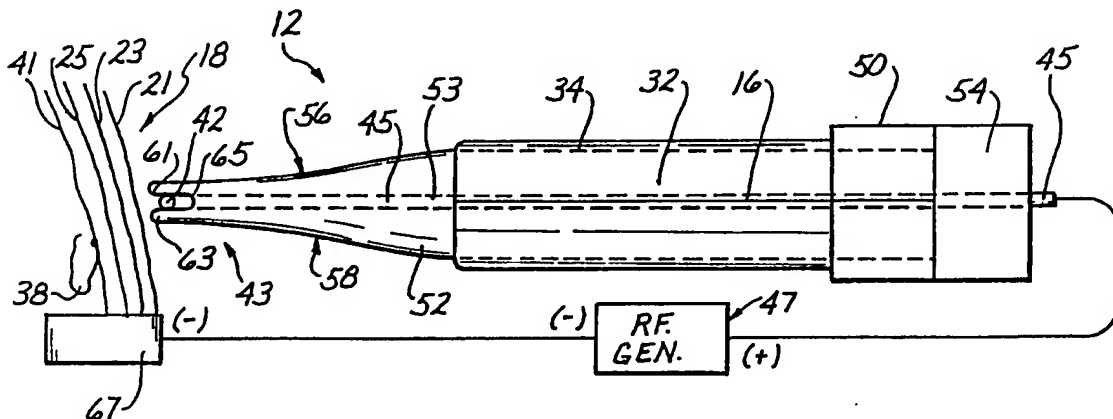




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(54) Title: SURGICAL TROCAR



(57) Abstract

A surgical trocar (10) includes an operative sleeve (32) adapted for disposition across a tissue barrier and an obturator (36) removably disposed in the sleeve (32). A source of energy is introduced to a cutting element (42) disposed at the distal end (43) of the obturator (36) for energizing the cutting element (42) to cut the tissue barrier (18). The distal end (43) of the obturator (36) and the distal end of the operative sleeve (32) can be advanced through the cut tissue and the obturator (36) removed leaving the sleeve (32) operatively disposed for further surgery.

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SURGICAL TROCAR

Background of the Invention

Devices and procedures for providing an enlarged tubular access into a body cavity or body conduit, were first conceived when catheters became particularly valuable for noninvasive surgery. A catheter which may have had a diameter of such as 5 French is typically very flexible and therefore does not have the column strength necessary to puncture the skin or a vessel in order to accommodate insertion of the catheter. A method which is still the preferred procedure was developed whereby a common surgical needle is inserted through the skin and into the vessel. This needle was closely overlaid with a thin sheath, commonly referred to as an introducer, which is carried by the needle into the vessel. When the needle was removed, the introducer was left in place and provided the tubular access through which the catheter could then be inserted.

In more recent times, noninvasive surgery has further advanced so that large body cavities such as the abdomen can be accessed through tubular devices and the surgical procedures performed with long narrow instruments through those access devices. It is not surprising that these devices, commonly referred to as trocars, are introduced through the abdominal wall or other tissue barrier, in much the same manner as that employed by the catheter introducer

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systems. Thus, trocars typically include a puncturing device, commonly referred to as an obturator, and a closely spaced outer sheath or cannula. In this case the obturator may have an outside diameter such as 10 millimeters, where
5 the cannula has a similar inside diameter. Once the cannula is in place, narrow surgical apparatus can be inserted through the cannula to perform common functions such as cutting, irrigating, aspirating, grinding, traction and removal of body parts.

10

While the above mentioned procedure for introducing catheters has remained satisfactory, this same procedure applied to trocars has not been effective for two primary reasons. First, the size of the required puncture is much
15 larger than that associated with catheters. Second, the abdominal wall consists of a material having a much greater density than merely skin or vessel walls. The puncture required for a trocar must typically be made through muscle which provides a much higher resistance to entry. As a
20 result of these two differences, forces as great as 100 pounds may be required to insert a trocar into a body cavity.

In order to accommodate forces of this magnitude,
25 obturators have been formed from solid metal rods and provided with very sharp points and long cutting edges leading from the point to the outer circumference of the obturator. While this has had some affect on the force required for insertion, it has only aggravated the problem
30 associated with the presence of organs in close proximity to the abdominal wall.

In order to avoid puncturing of these organs, it has been necessary to stop the forward movement of the trocar

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immediately upon penetration. Thus the procedure has called for a tremendous force in order to penetrate the abdominal muscle and an immediate stopping of that force at the point where there is no further resistance to forward
5 movement. In some cases, physicians have attempted to avoid the significant forward pressure by twisting and turning the trocar. This has tended to significantly traumatize the incision.

10 More recently, attempts have been made to mechanically cover the sharp cutting tip and edges immediately following penetration. U.S. Patent No. 4,654,030 discloses a sheath which is biased to move forwardly over the point of the trocar as soon as it penetrates the abdominal wall.
15 Elaborate apparatus for biasing this sheath to the forward position have been complicated by requirements for a long throwing distance and a short throwing time.

The need has remained for an apparatus and method
20 which can easily puncture (with a low force and providing a high degree of control) along a precise incision (providing low trauma and excellent healing characteristics) while avoiding any further cutting immediately following penetration.

25

Summary of the Invention

These features are provided in accordance with the
30 present invention which applies electrocautery techniques in the formation of a puncture wherein a long instrument, such as a trocar, can be advanced substantially perpendicular to the wall of a cavity, such as the abdominal wall. An electrocautery element such as a wire

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or blade can be provided at the distal end of the trocar and operated in accordance with monopolar or bipolar techniques to cut the abdominal wall as the trocar is advanced. Means can be provided for controlling the shape
5 of the electrocautery element at the distal end of the trocar in order to vary the shape, for example the width, of the incision.

Apparatus for covering or retracting the
10 electrocautery element immediately upon penetration of the wall is desirable to avoid cutting any proximate organs. This apparatus might include a pressure transducer responsive to the absence of pressure beyond the abdominal wall, or a logic circuit with properties for detecting a
15 sharp reduction of cutting current upon penetration of the wall.

In one aspect of the invention, a surgical trocar assembly is provided for penetrating a barrier of tissue
20 and providing an operative channel through the tissue barrier into a body cavity. The assembly includes an operative sleeve and an obturator removably disposed in the sleeve and extending beyond the distal end of the sleeve. A cutting element is disposed at the distal end of the
25 obturator and adapted to be moved into contact with the tissue. Means is provided for conducting energy from a source to the cutting element and for energizing the cutting element to cut the tissue, whereby the distal end of the obturator and operative sleeve can be advanced
30 through the cut tissue and across the tissue barrier.

In another aspect of the invention, a surgical apparatus is adapted for creating an incision through a tissue barrier. The apparatus includes a rod having walls

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disposed along a longitudinal axis and narrowing to form an apex at the distal end of the rod. Portions of the walls define a slot extending along a plane including the axis of the rod and means is disposed in the slot for conducting
5 electrical energy toward the distal end of the rod. Means for energizing the conducting means facilitates cutting the tissue with the rod when the rod is placed in proximity to the tissue and when the rod is moved through the cut tissue to create the incision.

10

A method for inserting the cannula through the wall of a body cavity includes the steps of ...inserting an energy conducting cutting device through the cannula; contacting the wall of the cavity with the cutting element, energizing
15 the cutting element to cut the wall of the cavity; and advancing the tip of the cutting device to create an incision through the wall of the cavity.

These and other features and advantages associated
20 with the present invention will be more apparent with a description of the preferred embodiments of the concept and reference to the associated drawings.

25

Description of the Drawings

Fig. 1 is a side elevation view of one embodiment of the trocar associated with this invention operatively positioned to penetrate a tissue barrier;

30

Fig. 2 is a top plan view of the trocar embodiment illustrated in Figure 1;

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Fig. 3 is a side elevation view of the distal end of a further embodiment of the trocar;

Fig. 4 is a cross-section view taken along lines 4-4 of Figure 3.

Fig. 5 is a side elevation view of a further embodiment of the trocar;

Fig. 6 is a cross-section view taken along lines 6-6 of Figure 5;

Fig. 7 is a side elevation view of a further embodiment of the trocar illustrating a plurality of wire cutting elements;

Fig. 8 is an end view of the embodiment illustrated in Figure 7;

Fig. 9 is a side elevation view of still a further embodiment of the trocar with an expandable cutting element;

Fig. 10 is a top plan view of a further embodiment of a trocar with a retractable cutting element;

Fig. 11 is a side elevation view of the embodiment illustrated in Figure 10;

Fig. 12 is a schematic view of a trocar using an optical cutting system including a laser;

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Fig. 13 is a schematic view of a preferred embodiment for sensing penetration of the tissue barrier;

Fig. 14 is a schematic view of a switch system including an automatic switch and a manual override; and

Fig. 15 is a schematic view of an infrared system for detecting penetration of the tissue barrier.

Fig. 16 is a perspective view of an obturator having a tip portion of particular interest to the present invention;

Fig. 17 is a side elevation view of the tip portion of the obturator illustrating its advantageous use in puncturing the abdominal cavity between a pair of ribs;

Fig. 18 is a front elevation view of the tip portion taken along lines 18 - 18 of Fig. 16; and

Fig. 19 is an enlarged view of the tip portion illustrated in Fig. 16.

Description of Preferred Embodiments

A surgical trocar is illustrated in Figure 1 and designated generally by the reference numeral 10. The trocar 10 is a narrow elongate instrument having a distal end 12 and a proximal end 14. It is typically configured along a longitudinal axis 16 and is generally circular in radial cross-section.

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It is the purpose of the trocar 10 to provide a channel through a tissue barrier in order to provide access across the barrier into a body cavity. By way of example, an abdominal wall 18 is illustrated in Figure 1 and typically includes a layer of skin 21, a layer of fat 23, and a layer of muscle 25 which form the tissue barrier to an abdominal cavity 27.

The trocar 10 typically includes an elongate tube or cannula 32 having a cylindrical configuration and a wall thickness such as .015 inches. The cannula 32 has an interior bore or channel which is typically in a range of diameters between 5 to 12 millimeters. It is the purpose of the trocar 10 to pierce, cut, incise or otherwise puncture the tissue barrier, such as the abdominal wall 18, and to leave the cannula 32 extending through that incision with the channel 34 providing an access port into the cavity 27. Through this channel 34, various surgical instruments such as cutters, clamps, traction devices, visualization devices, aspirators and irrigators can be positioned and manipulated to perform a particular surgical procedure within the cavity 27.

The trocar 10 also includes an obturator which extends through the cannula 32 and provides the means for cutting through the wall 18 to provide for insertion of the cannula 32. In the past, obturators have been formed from solid metal rods which have been sharpened to a point at the distal end 12 of the trocar 10. The forces necessary to puncture the abdominal wall 18 with such a device have been considerable due primarily to the presence of the muscle layer 25 in the wall 18. Puncturing of the wall 18 with such devices has been further complicated with the close proximity of important organs such as the liner 38 which,

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in some patients may actually be attached to the abdominal wall 18 by an adhesion 41. In order to avoid puncturing these organs, it has been an absolute requirement that the forward movement of the trocar 10 be stopped as soon as the
5 distal tip of the obturator pierces through the interlayer of the wall 18. These conflicting requirements to provide a significant puncture force and then to immediately halt application of that force, have made the trocars of the past both difficult and dangerous to use.

10

In accordance with the present invention an obturator 36 includes a distal tip 43 which extends beyond the distal end of the cannula 32. This tip 43 is provided with at least one electrocautery wire 42 which can be activated
15 through a conductor 45 by a radiofrequency generator 47.

Initially the trocar 10 is advanced until the wire 42 and the tip 43 are brought into contact with the tissue barrier such as the wall 18. Activating the wire 42 with
20 radiofrequency energy causes the contacted cells to vaporize forming an opening or incision in the wall 18. With the application of a relatively minimal force, the trocar 10 can be advanced through the tip 45 clears the inner layer of the wall 18, such as the muscle layer 25.
25 At this point, it is desirable to de-energize the cutting element or wire 42 so that any further forward movement of the trocar 10 does not accidentally cut an interior organ such as the liner 38. Various apparatus and methods for sensing this particular location and inhibiting further
30 cutting is discussed in greater detail below.

At the proximal end 14 of the trocar 10 the cannula 32 is attached to a valving mechanism 50 which can be of the type disclosed by Moll in U. S. Patent No. 4,654,030, or

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disclosed by applicant in copending U. S. Patent Application Serial No. 07/630,078 filed on December 19, 1990.

5 The obturator 36 includes an elongate shaft 52 which may include interior portions defining an axial channel 53 for the conductor 45. This shaft 52 extends through the valving mechanism 50 as well as the cannula 32 with the tip 43 extending beyond the distal end of the cannula 32.
10 A finger knob 54 can be attached to the shaft 52 of the obturator 36 to facilitate application of the minimal axial force required to advance the trocar 10. Upon penetration of the wall 18, this finger knob 54 can be withdrawn proximally through the cannula 32 and the valving mechanism
15 50. In this manner, the cannula 32 is left in place with the interior channel 34 providing access across the abdominal wall 18 into the body cavity 27.

20 In the illustrated embodiment, the distal tip 43 of the obturator 36 has the configuration of a duck bill. It is defined primarily by a pair of opposing ramps 56, 58 which extend from the outer surface of the shaft 52 inwardly with progressive distal positions along the ramps 56, 68. At the distal end of the obturator 36, the ramps
25 56 and 58 terminate in a pair of lips 61, 63 respectively which define an interior recess 65 that is configured to receive the wire 42.

30 It will be noted that the lips 61, 63 extend slightly distally of the wire 42 by a particular distance. As the trocar 10 is moved forwardly, these lips 61, 63 are the only part of the trocar 10 which actually touch the wall 18. This particular distance is carefully selected, however, so that when the lips 61, 63 touch the wall 18,

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the wire 42 is close enough to the wall 18 that the most proximate cells vaporize to create the desired incision. This cutting by close proximity is commonly referred to as arcing. As used herein the cutting element, such as the
5 wire 42, is deemed to be in contact with the wall 18 if the desired arcing or cutting occurs.

In the embodiment of Figure 1, the electrocautery technique is monopolar; that is, only a single pole, such
10 as the positive pole, is carried by the trocar 10. In this type of technique, the patient is laid directly on a large plate which provides the second pole required by the electrocautery system. The RF generator 47 produces a radio frequency electrical energy signal which travels
15 through the positive electrode connected to the wire 42 and through the body of the patient, to the negative pole at the plate 67. Where this conduction path is large in cross-section, the current density is very small. However, in proximity to the wire 42 the current path is very small
20 in cross-section so the current density is quite large. It is this large current density which results in vaporizing the cells of the wall 18 in proximity to the wire 42.

It will be apparent that a bipolar electrocautery
25 technique is equally applicable to this invention and may actually be preferred in some circumstances. A bipolar embodiment is illustrated in Figures 3 and 4 wherein the shaft 52 of the obturator 36 is separated axially into two half-shafts 72 and 74 each having an axial cross-section of
30 a half circle and each including one of the duck bills associated with the nose 43. Each of the half-shafts 72, 74 has an inner surface which defines a recess near the associated tip 61, 63 respectively. For example, the half-shaft 72 includes an inner surface 76 which defines a

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recess 81 near the lip 61. Similarly, the half-shaft 74 has an inner surface 78 which defines a recess 83 near the lip 63.

5 These recess 81 and 83 are configured to receive a pair of blades 85, 87 respectively which are connected to the two electrical poles of the RF generator 47. Thus, the blade 85 is connected through a conductor 90 to the positive pole of the generator 47 while the blade 87 is
10 connected through a conductor 92 to the negative pole of the generator 47. A layer of insulation 94 is sandwiched between the surfaces 76, 78 to separate the blades 91, 93. In this bipolar embodiment, current travels from the blade 91 through the tissue wall 18, around the insulator 94 and
15 into the blade 93.

The exact configuration of the cutting elements 42, 91 and 93 in these embodiment is not important as long as the desired current density can be maintained. Thus the wire
20 42 and the blades 91 and 93 may be interchangeable in the Figure 1 and Figure 2 embodiments.

In a further embodiment of the invention illustrated in Figures 5 and 6, the shaft of the obturator 36 is again
25 separated into the half-shafts 72 and 74. Along either or both of the interior surfaces 76, 78 a conducting material can be doped into or otherwise deposited on the material forming the half-shaft 72, 74. For example, in a preferred embodiment, the half-shafts 72, 74 are formed from glass or
30 sintered ceramic and the layers 96, 98 contain a conductive polymer or metal which is doped into the surfaces 76, 78 respectively. In a monopolar embodiment, only one of the layers 96, 98 is required. In the bipolar embodiment illustrated both layers 96, 98 are required as

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well as the insulation layer 94 which separates the two half-shafts 72, 74.

In this particular embodiment, the doped layer, for example the layer 98, can form both the cutting element, such as the blade 93, as well as the associated conductor, such as the conductor 92. The layer 98 may also include a region of epitaxial layering which forms a logic circuit 101 discussed in greater detail below.

10

Other embodiments of the invention which take advantage of the electrocautery technique are illustrated in Figures 7 through 11. In the Figure 7 embodiment, which is also shown in the end view of Figure 8, the distal end of the obturator 36 is formed with three planes or lands 112, 114 and 116 which extend from the outer surface of the shaft 52 distally to a point 118. In this embodiment, a wire 121 is disposed along each of the lines defined by the intersection of the lands 112, 114 and 116. In operation, the wires 121 cut the tissue of the wall 18 along three lines so that the incision is defined by three flaps of the tissue. This particular embodiment may be desirable where it is necessary to equalize pressures of the tissue on the outer surface of the cannula 32.

25

In the embodiment of Figure 9, the distal end of the obturator 36 is formed with a projection 123 which extends distally and axially of the trocar 10. A recess or channel 125 is defined to extend generally radially across the projection 123. The cutting element or wire 142 in this embodiment passes down the axial channel 53 in the obturator 36 and passes outwardly of the shaft 52 through a port 127 at the distal end 12. The wire 42 then passes

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through the recess 125 in the projection 123 and back into the shaft 52 through a port 130.

This embodiment is of particular interest since either
5 end of the wire 42 can be advanced distally within the axial channel 53 to expand the width of the wire 42 at the distal end of the trocar 10. In fact, both ends of the wire 42 can be moved distally to extend the exterior portions of the wire 42, for example to the dotted position
10 132 illustrated in Figure 6. This position 132 has a significantly greater width and therefore provides a wider incision in the wall 18. Thus the wire 42 can be advanced and retracted within the axial channel 53 to vary the size and shape of the resulting incision.

15

In the embodiment of Figures 10, 11, the cutting element has the configuration of a blade 134 similar to that first discussed with reference to Figure 3. In this case, the blade 134 can be advanced beyond the distal end
20 of the shaft 52 associated with the obturator 36. It can also be retracted into a recess 136 in the shaft 52 in order to inhibit any further cutting of the tissue associated with the wall 18.

25 The invention is not limited to the electrocautery embodiments or techniques disclosed above. Rather, other types of cutting elements can be disposed at the distal end of the obturator 36 to increase the cutting capabilities of the trocar 10. One such embodiment is illustrated in
30 Figure 12 which provides for optical cutting in combination with a laser 105. The optical energy provided by the laser 105 is conducted through an optical fiber 107 to a cutting element or lens 109 which concentrates the energy to form the required incision in the wall 18.

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It will be apparent to those skilled in the art that ultrasonic cutting is equally applicable to the present invention. In such a device, the conductor would transmit energy not in the radiofrequency range but rather in the ultrasonic range between 100 KHz and 1.2 MHz. As this energy emanates from the cutting element at the distal end of the trocar 10, the energy can be focused to activate the proximate cells and cause those cells to vaporize. Thus the ultrasonic cutter would function much as a microwave. One advantage of this system is that ultrasonic cutting does not require the two electrical poles associated with both monopolar and bipolar radiofrequency cutting. Focusing of the ultrasonic energy could be achieved with suitable wave guides.

One of the most significant problems confronting trocar procedures of the past has been associated with the need to compromise two conflicting requirements: 1) the requirement to provide a significant axial pressure to force the trocar 10 through the wall 18; and 2) the need to immediately cease application of that significant force upon penetration of the wall 18. Attempts have been made to address this problem automatically and mechanically with the provision of a protective sheath armed in a rearward position but bias to spring to a distal position covering the distal tip of the obturator 36 upon penetration of the wall 18.

This attempt to avoid relying totally on the surgeon for both of the conflicting requirements has met with only limited success. Since the protective sheath has necessarily been larger than the diameter of the obturator 36, the distal end 12 of the trocar has been required to move beyond the point of penetration in order to clear the

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distal end of the shield. In an attempt to provide reduced insertion forces, the angle between the axis 16 and the lands 112, 114, and 116 has been reduced. While this has decreased the angle of inclination associated with these lands 112, 114, and 116, it is also extended the length of the lands 112, 114, and 116 rearwardly along the shaft 52. This is necessarily required that the protective sheath be thrown a greater distance in order to cover the point 118 of the obturator 36. The critical timing of this sheath response has not been sufficient to avoid the dramatic consequences associated with interior cutting.

Building on the advantages associated with the present invention whereby cutting by the obturator 36 is accomplished with electrical or optical energy, means can now be provided to sense complete penetration of the wall 18 and to cease further cutting by the cutting element.

With reference to Figure 13, a particular embodiment of the obturator 36 may include means disposed near the distal end 12 of the trocar 10 for sensing penetration of the wall 18 by the obturator 36. The sensor 141 will typically be connected to a penetration detection and response circuit 143 which controls the RF generator through a pair of conductors 158, 161. In the illustrated embodiment, the current 143 includes an energy source 145 for energizing the sensor 141 through one or more conductors 147.

Upon penetration of the wall 18, the sensor 141 provides signal characteristics on a conductor 149. In a particular embodiment, a switch 152 can be included in the response circuit 143 and provided with characteristics responsive to the signal on the conductor 149 to deactivate

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the generator 47. Thus the switch 52 has characteristics for closing when the sensor 141 is in proximity to the tissue and for automatically opening when the sensor 141 detects penetration of the wall 18. This switch 152 can be
5 interposed in series with a foot pedal 154 which includes a manual switch 156 for providing continuity between the two conductors 158, 161 which activate the generator 47.

Under certain circumstances, it may be possible that
10 the sensor 141 would detect the absence of pressure if the physician merely discontinues forward movement of the trocar 10 through the wall 18. Under these circumstances, a physician might decide to proceed with further cutting and therefore desire that the RF generator 47 be
15 reactivated. This can be easily accomplished by a circuit 143 which is responsive to further pressure on the sensor 141 to close the switch 152. However, in a particular embodiment, it may be desirable to provide lock-out characteristics which require some manual switching by the
20 physician in order to reactivate the generator 47. Such a circuit is illustrated schematically in Figure 9 wherein the switches 152 and 156 are both responsive to the signal characteristics on conductor 149 to automatically open when the sensor 141 indicates that the wall 18 has been
25 penetrated.

If additional pressure is detected by the sensor 141, the switch 152 would automatically close as illustrated by the arrow 163. However, the switch 156 would require
30 manual closure by the physician as illustrated by the arrow 165.

In other embodiments, the sensor 141 could be a responsive to the presence or the pressure of insufflation

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gasses which are commonly used to inflate the abdominal cavity 27. These gases would be sensed only on the interior side of the wall 18 so the sensor 141 would actually be detecting penetration of the wall 18 by the obturator 36. These gas pressures could also be sensed in an embodiment providing for a longitudinal channel, such as the axial channel 53, which could convey the pressures to the sensor 141 at a more proximal location such as that illustrated at the dotted position 167 in Figure 13.

10

In still a further embodiment, the sensor 141 could be an infrared sensor including an LED 172 activated by the energizer 145 and a detector 174 providing the switch 152 with signal characteristics on the conductor 149. Such an IR sensor 141 as illustrated in Figure 15 would sense the proximity of tissue by the reflectance of light from the LED 172 to the detector 174.

Another way of sensing penetration of the wall 18 is particularly adapted for the embodiment illustrated in Figures 5 and 6. In this case, the logic circuit 101 provides means for sensing changing electrical characteristics in proximity to the cutting element, such as the blade 93. These electrical characteristics may include capacitance, resistance, current magnitude, current density, or any combination thereof. These characteristic will tend to vary most dramatically as the blade 93 approaches the interior surface of the wall 18. As the tissue surrounding the blade 92 is reduced in thickness, the resistance to current flow will rise. Not only will the magnitude of the current in conductor 92 decrease, but the density of the current passing through the tissue will also tend to decrease. Any one or all of these characteristics can be detected by the logic circuit 101 to

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provide a means for inhibiting further cutting of tissue upon complete penetration of the wall 18.

The configuration of the distal tip of the trocar 10 as illustrated in Figure 1 is of particular interest not only to an electrosurgical trocar but also to mechanical trocars. This tip is discussed more specifically with reference to Figures 16 - 19. In these figures, structures which are similar to those previously discussed are referred to with the same reference numerals followed by the lower case letter "a".

In Figure 16, the obturator 36a is a mechanical obturator adapted for use with a trocar system which cuts tissue with the application of force on a sharp edge of the instrument. The obturator 36a includes a shaft 52a extending along a longitudinal axis 16a between a tip portion 172 at its distal end and a handle portion 174 at its proximal end. The shaft 52a in a preferred embodiment has a generally cylindrical surface 176. The handle portion 174 includes the finger knob or handle 54a.

The distal portion 172 of the shaft 52a is formed from a pair of opposing ramps or surfaces 56a and 58a. These surfaces converge distally and intersect each other at a line 178 which is disposed at the distal end of the tip portion 172. In a preferred embodiment, the surfaces 56a and 58a are planar in configuration to facilitate manufacture of the device. In other embodiments these surfaces may be more rounded as illustrated in Figure 1.

The surfaces 56a and 58a are disposed at a relative angle preferably in a range between 15° and 45°. A smaller angle facilitates penetration of the tissue while a larger

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angle reduces the length of the tip portion 172. In a preferred embodiment, the relative angle between the surfaces 56a and 58a is about 30°. This relative angle between the surfaces 56a and 58a can be divided equally by the axis 16a, or alternatively, the surfaces 56a and 58a may have different angles with respect to the axis 16a.

In the illustrated embodiment, the line 178 at the intersection of the surfaces 56a and 58a passes through the axis 16a of the shaft 52a. Preferably the axis 16a is generally perpendicular to the line 178 and bisects the line 178. In this embodiment, the line 178 has a length which is generally equivalent to the diameter of the shaft 52a.

15

This configuration for the tip portion 172 is particularly desirable when the obturator 136a is used to puncture the abdominal wall 18a between a pair of ribs 181, 183. Blood vessels 185 are often present in this region along with various ligaments and other elements of tissue which should not be cut.

The wedge configuration of the tip portion 172 enables the obturator 36a to be inserted between the ribs 181, 183 without exposing the vessels 185 to any sharp cutting edge. Furthermore, the opposing surfaces 56a and 58a act to diametrically separate the ribs 181, 183 so that the only cutting occurs along a plane 187 illustrated by a dotted line which extends perpendicular to the abdominal wall 18a and intermediate the ribs 181, 183.

30

It is of particular interest to note that the outer surface 176 of the shaft 52a intersects each of the ramps or surfaces 56a, 58a at an obtuse angle between 90° and

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180°. Referring to the enlarged view of Figure 19 one can see that a point A is defined by the intersection of the surfaces 56a, 58a and 176. At this point A, the surface 176 is generally vertical as shown by the axis 190. The
5 surface 56a is horizontal as shown by the axis 192. It follows that an angle 194 defined by the intersection of these surfaces 56a and 176 is about 90° at the point A.

As the surface 56a moves proximally, its intersection
10 with the surface 176 of the shaft 52a follows generally along a line 196. From the point A, the line 196 travels proximally and then increasingly radially with progressive positions in the proximal direction. At a point B where the surface 56a intersects the surface 76 at its most
15 proximal position, the line 196 extends only radially. At this point B, the surface 176 extends generally horizontally as shown by an axis 198. The surface 56a extends almost horizontally along an axis 200. An angle 202 which exists between an axis 198 and the axis 200
20 approaches 180°. In a preferred embodiment wherein the surface 56a has an angle of 15° with respect to the axis 16a, the angle 202 is 165°.

It follows that between the points A and B, the angle
25 between the surface 56a and the surface 176 is an obtuse angle which varies generally from 90° to 180°. Since this is an obtuse angle, the line 196 is not particularly sharp and therefore is less likely to produce a cutting action. To further inhibit any cutting action along the line 196,
30 this line of intersection can be rounded to further reduce its sharpness.

Although the embodiments of Figures 16 - 19 are directed primarily to a mechanical obturator 36a, it will

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be apparent that the advantages associated with the tip portion 172 are equally valuable with respect to an electrosurgical trocar. In such an embodiment, the electrosurgical electrode or wire 42 would be disposed
5 along the line 178.

Under certain circumstance it may be desirable to view the distal end of the obturator 36a as cutting occurs. Such a viewing mechanism might take the form of a fiber
10 optic cable 204 terminating at a wide angle lens 206 as illustrated in Figure 16. The viewing mechanism would not only be beneficial in monitoring the cutting of the tissue, but could also provide information as to the nature of the tissue being cut. This would be of particular importance
15 when the obturator 36a fully penetrates the wall 18. As noted, it is important to immediately cease cutting at this point of penetration in order to avoid any damage to interior organs.

20 Although this invention has been discussed with reference to various electrical and optical cutting circuits and exemplary switching circuits, it will be apparent to those skilled in the art that the invention can be otherwise embodied. Generally, any energy source can be
25 coupled to the distal end of the obturator 36 and its energy converted into forms suitable for cutting tissue. Various cutting elements and cutting element configurations will be apparent depending on the nature of the energy provided. Various types of technology can also be
30 incorporated into the sensor 141 all with the intent to provide some measurable indication at the point in time when the obturator 36 penetrates the wall 18.

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Due to the broad nature of this invention, the breadth of the associated concept should not be limited merely to the disclosed embodiments or the drawings, but should be determined only with reference to the following claims.

- 24 -

CLAIMS

1. A surgical trocar assembly for penetrating a barrier of tissue and providing an operative channel through the tissue barrier into a body cavity, including:

an operative sleeve having a distal end and being
5 adapted for disposition across the tissue barrier with the distal end of the operative sleeve located in the body cavity;

an obturator removably disposed in the sleeve and including a proximal end and a distal end, the distal end
10 of the obturator extending beyond the distal end of the sleeve;

a source of energy;

a cutting element disposed at the distal end of the obturator assembly and adapted to be moved into contact
15 with the tissue and through the tissue barrier out of contact with the tissue;

means for conducting the energy from the source to the cutting element and for energizing the cutting element to cut the tissue; whereby

20 the distal end of the obturator and the distal end of the operative sleeve can be advanced through the cut tissue to penetrate the tissue barrier, and the obturator can be removed from the operative sleeve leaving the sleeve operatively disposed across the tissue barrier.

2. The surgical trocar assembly recited in Claim 1 wherein the source of energy is a laser.

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3. The surgical trocar assembly recited in Claim 1 wherein the source of energy is an electrical radio frequency generator.

4. The surgical trocar assembly recited in Claim 1 wherein the cutting element is adapted to be moved in to contact with the tissue and through the tissue barrier out of contact with the tissue, and the conducting means includes:

5 at least one conductor disposed along a conduction path extending from the source of energy to the distal end of the obturator assembly;

switch means disposed in the conductive path for permitting the conduction of energy to the cutting element
10 in a first state and for inhibiting the conduction of energy to the cutting element in a second state; and

means responsive to the cutting element being out of contact with the tissue for placing the switch means in the second state.

5. The surgical trocar assembly recited in Claim 3 wherein the cutting element comprises:

an electrocautery wire coupled to the radio frequency generator and having properties for conducting a radio
5 frequency current through the tissue to cut the tissue in an amount dependent upon the density of the current passing through the tissue; and

insulation means disposed on the wire for controlling the density of the current passing through the tissue.

6. The surgical trocar assembly recited in Claim 3 wherein the cutting element is a bi-polar radio frequency electrode including a first electrode, a second electrode and insulation means disposed between the first electrode
5 and the second electrode.

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7. An surgical apparatus for creating an incision through a tissue barrier, including:

a rod having a longitudinal axis extending between a proximal end of the rod and a distal end of the rod;

5 portions of the rod defining a slot at the distal end of the rod;

means disposed in the slot for conducting energy to the distal end of the rod to cut the tissue barrier; and

10 means for energizing the conducting means to cut the tissue when the rod is placed in proximity to the tissue and when the rod is moved through the cut tissue to create the incision.

8. The device recite in Claim 7 further comprising:

means for sensing penetration of the tissue barrier by the rod; and

5 means for inhibiting further cutting of the tissue barrier when penetration is sensed by the sensing means.

9. The device recited in Claim 8 wherein the sensing means comprises:

5 plunger means disposed relative to the rod and movably biased from a first position proximal of the distal end of the rod to a second position distal of the distal end of the rod, for providing the signal characteristics when the plunger means is disposed in the second position.

10. The device recited in Claim 9 wherein the plunger means comprises a tube disposed slidably axially of the rod and at least a portion of the tube in the second position extends distally of the conducting means.

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11. The device recited in Claim 8 wherein the inhibiting means includes a switch disposed along the conducting means and being responsive to the sensing means to inhibit conduction of the energy to the distal end of the rod,
5 whereby cutting of the tissue ceases upon penetration of the tissue barrier.

12. The device recited in Claim 9 wherein the plunger means includes:

a plunger disposed axially of the rod and having a distal end movable between a proximal position and a distal position wherein the distal end of the plunger extends
5 distally of the distal end of the rod; and

a spring disposed between the rod and the plunger for biasing the distal end of the plunger to the second position.

13. The device recited in Claim 12 wherein the first position of the plunger is characterized by the distal end of the plunger being coextensive with the distal end of the rod.

14. A method for inserting a cannula through the wall of a body cavity, comprising the steps of:

inserting an energy conductive cutting device through the cannula, the device being longitudinal in configuration
5 with a cutting element disposed at a distal tip of the device;

contacting the wall of the cavity with the energy conductive cutting element;

energizing the energy conductive cutting element at
10 the distal tip of the device to cut the wall of the cavity; and

during the energizing step advancing the tip of the device distally to create a hole through the wall of the cavity.

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15. The method recited in Claim 14 further comprising the step of de-energizing the cutting element when the distal end of the device penetrates the wall of the cavity.

16. The method recited in Claim 15 wherein the cutting element includes at least one pole of an electrosurgical cutter.

17. The method recited in Claim 16 wherein the energy conductive cutting element includes two poles of a bi-polar electrosurgical cutter.

18. The method recited in Claim 14 wherein the energy conductive cutting element includes an optical cutter.

19. The method recited in Claim 16 wherein the energy conductive cutting element includes at least one electrosurgical wire.

20. The method recited in Claim 16 wherein the energy conductive cutting element is a blade.

21. Apparatus for inserting a cannula through tissue defining a body cavity, comprising:

an obturator including a rod having a longitudinal axis and a distal tip extending through the cannula;

5 cutting element included in the obturator and disposed at the distal tip of the rod, the element having properties for being energized to cut the tissue when disposed in contact with the tissue;

means for energizing the element to produce a cut in
10 the tissue, the cut having a configuration dependent upon the exposed shape of the element; and

means movable relative to the rod for adjusting the exposed shape of the element to vary the configuration of the cut.

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22. The apparatus recited in Claim 21 wherein the cutting element is an electrosurgical wire having a first end and a second end, the first end of the wire being fixed to the rod and the second end of the wire being movable relative
5 to the rod to adjust the exposed shape of the wire.

23. The apparatus recited in Claim 22 wherein the wire includes first portions defining the largest lateral dimension of the wire and the second end of the wire is movable axially to vary the largest lateral dimension of
5 the wire.

24. The apparatus recited in Claim 21 wherein the cutting element is an electrosurgical blade and the adjusting means includes means for advancing the blade axially distally to adjust the exposed shape of the blade.

25. The apparatus recited in Claim 24 wherein the cutting element is a bi-polar electrosurgical blade including a first polar element, a second polar element, and insulation disposed between the first polar element and the second
5 polar element.

26. The apparatus recited in Claim 21 wherein the cutting element includes an optical fiber and a sleeve movable relative to the fiber to vary the exposed shape of the fiber.

27. The apparatus recited in Claim 21 wherein the cutting element includes an optical fiber and means for advancing the fiber relative to the rod to adjust the exposed shape of the element.

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28. A medical device for providing access across a body wall into a body cavity, comprising:

means for creating a hole through the body wall;

an elongate shaft included in the hole creating means

5 and extending between a tip portion at a distal end of the shaft and a handle portion at a proximate end of the shaft, the shaft having an outer surface; and

the tip portion of the shaft defined by a pair of opposing surfaces each intersecting the outer surface of
10 the shaft at an angle not less than 90°, the opposing major surfaces intersecting each other generally along a particular line.

29. The medical device recited in Claim 28 wherein the particular line is disposed at the distal end of the tip portion.

30. The medical device recited in Claim 28 wherein the particular line is a straight line.

31. The medical device recited in Claim 29 wherein the shaft extends along an elongate axis and a particular line intersects the axis of the shaft.

32. The medical device recited in Claim 31 wherein the particular line is perpendicular to the axis.

33. The medical device recited in Claim 28 wherein each of the major surfaces has a planar configuration.

34. The medical device recited in Claim 28 wherein the angle between the major surface and the outer surface of the shaft increases from about 90° to about 180° with progressive positions proximally of the particular line.

- 31 -

35. The medical device recited in Claim 28 further comprising an electrosurgical wire disposed along the particular line.

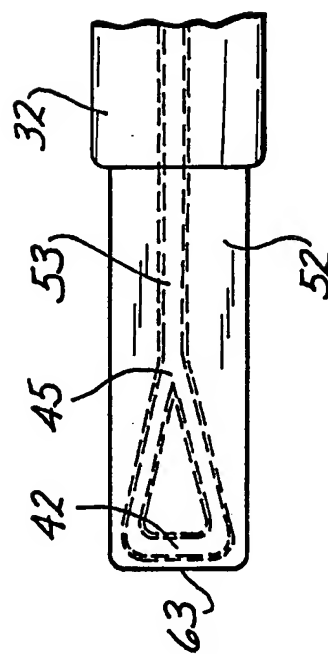
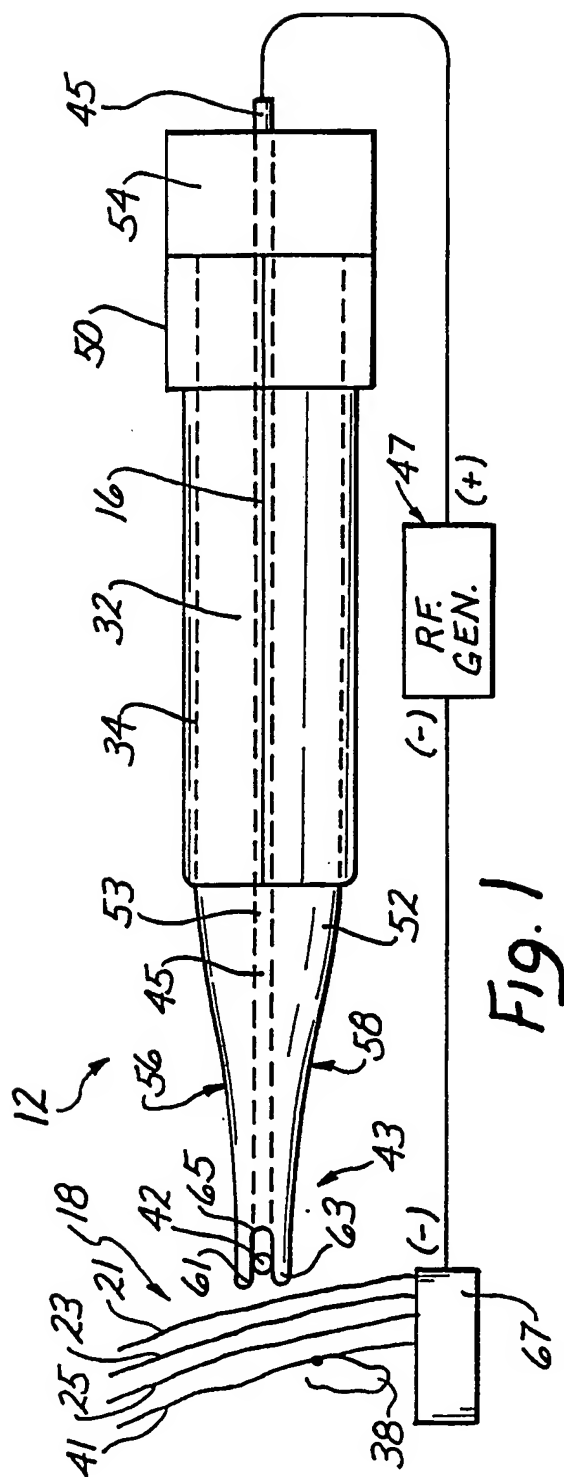
36. The medical device recited in Claim 28 wherein the length of the particular line is generally equivalent to the diameter of the shaft.

37. The surgical trocar assembly recited in Claim 3 wherein the source of energy is an electrical generator providing current in a range of frequencies including radio frequencies, microwave frequencies, or ultrasound
5 frequencies.

38. The surgical trocar recited in Claim 4 wherein the switch means included a logic circuit responsive to at least one characteristic of the energy being conducted to the cutting element for placing the switch means in one of
5 the first state and the second state.

39. A medical device as recited in Claim 28 further comprising:

means carried by the shaft for viewing the distal end of the shaft to monitor the cutting of the tissue by the
5 energy conducting means.

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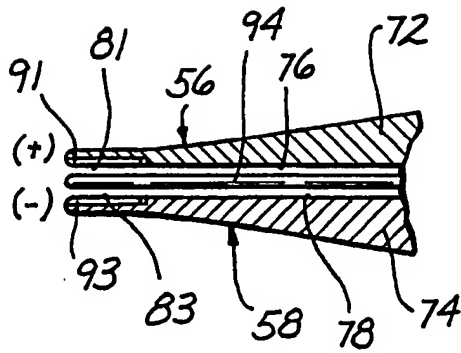


Fig. 3

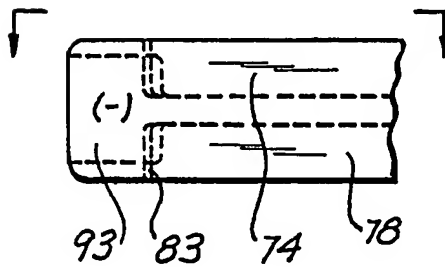


Fig. 4

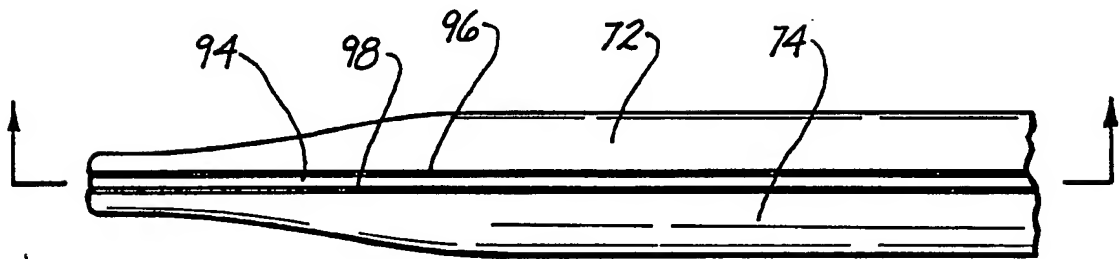


Fig. 5

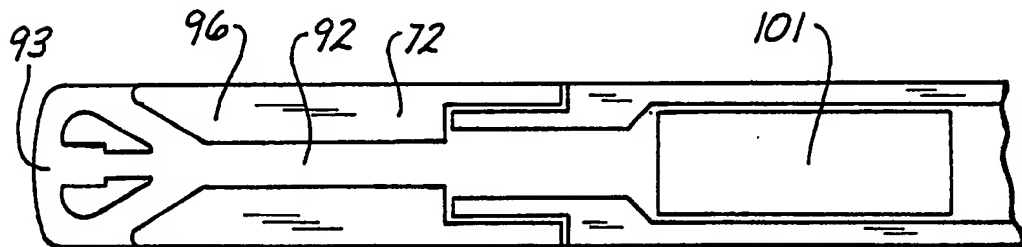


Fig. 6

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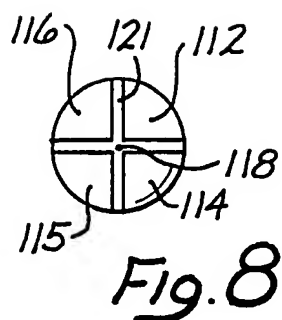


Fig. 8

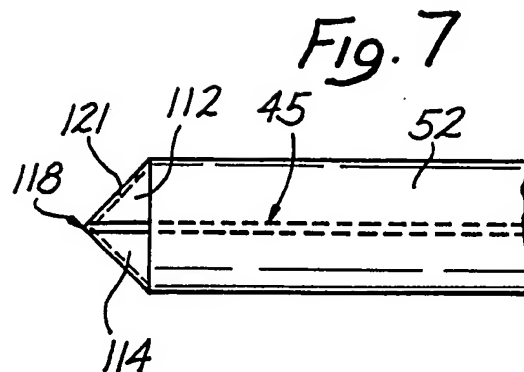


Fig. 7

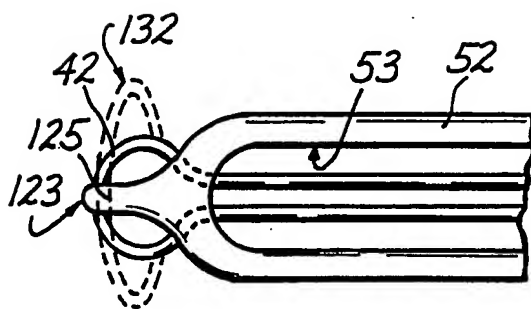


Fig. 9

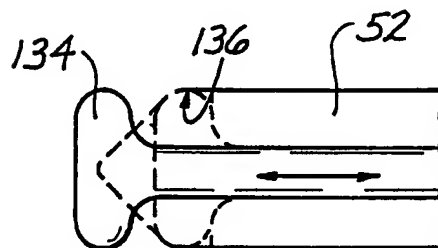


Fig. 10

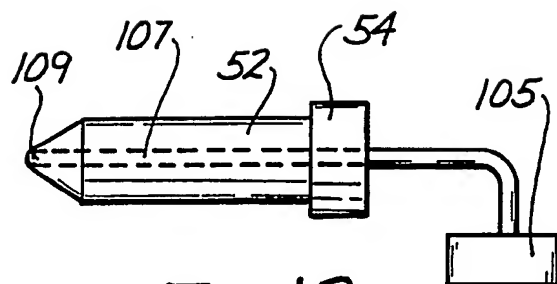


Fig. 12

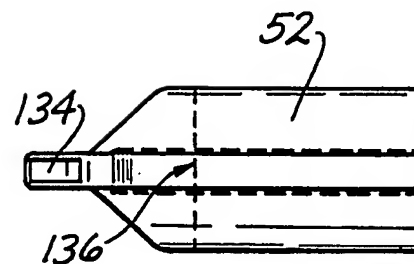
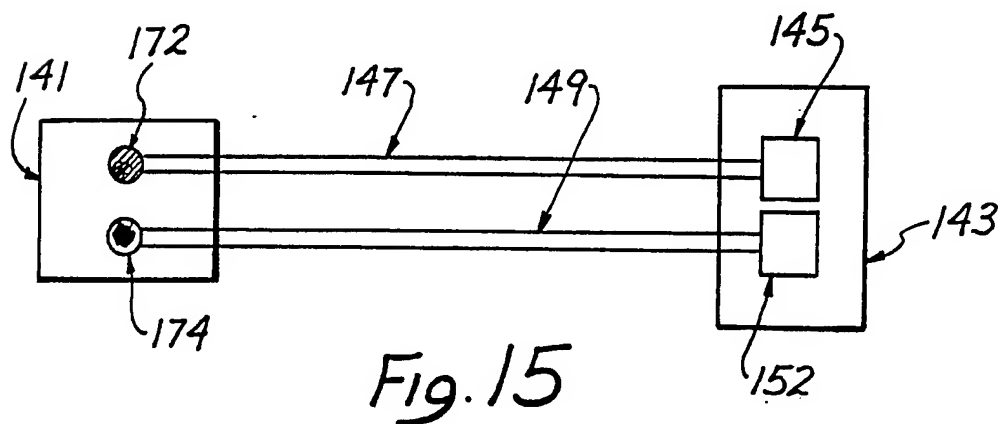
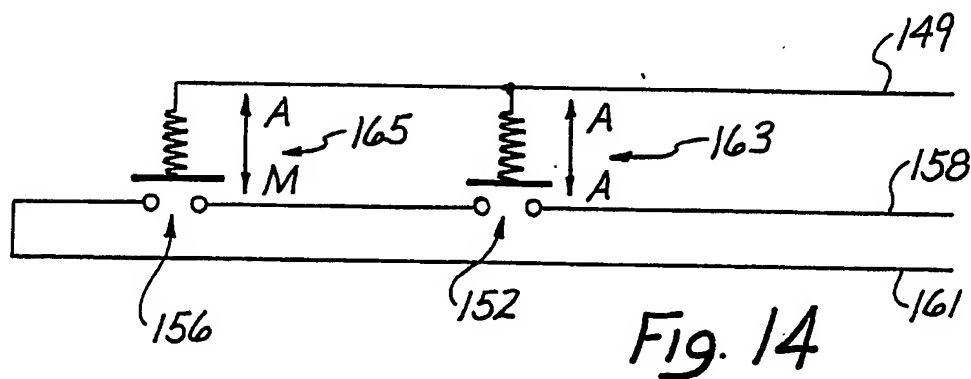
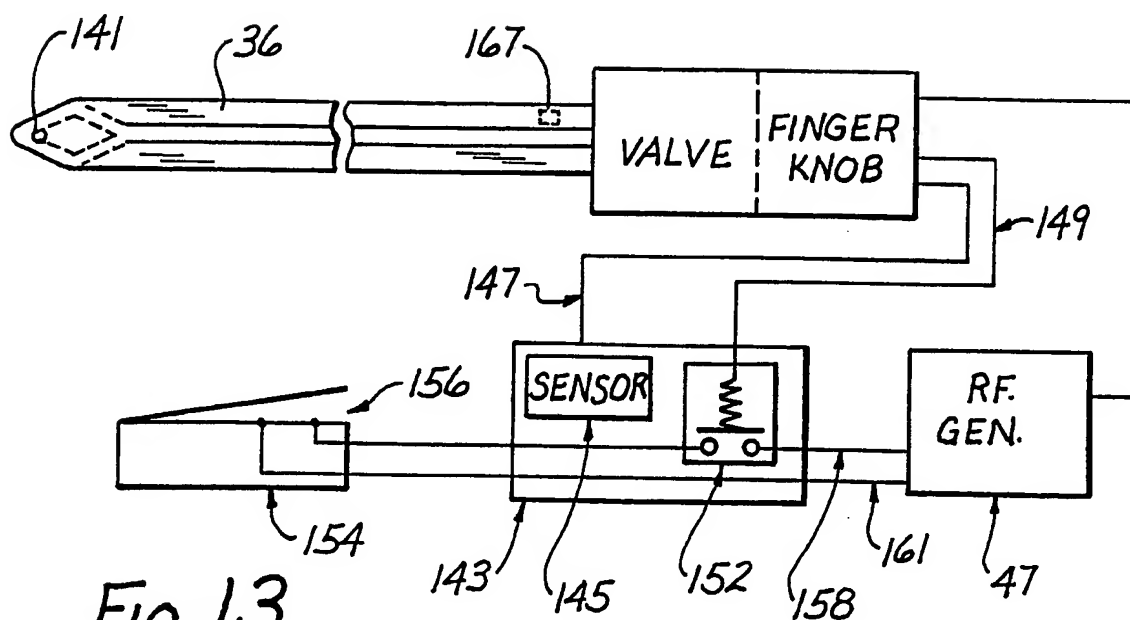
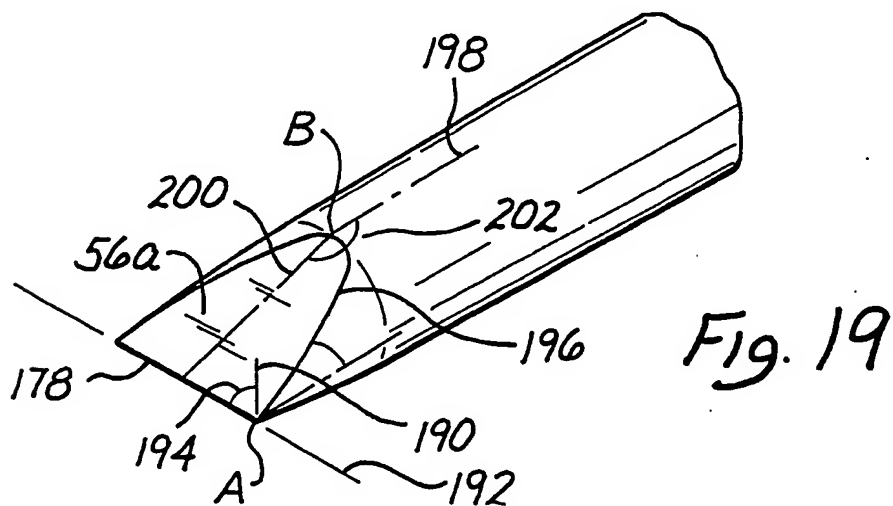
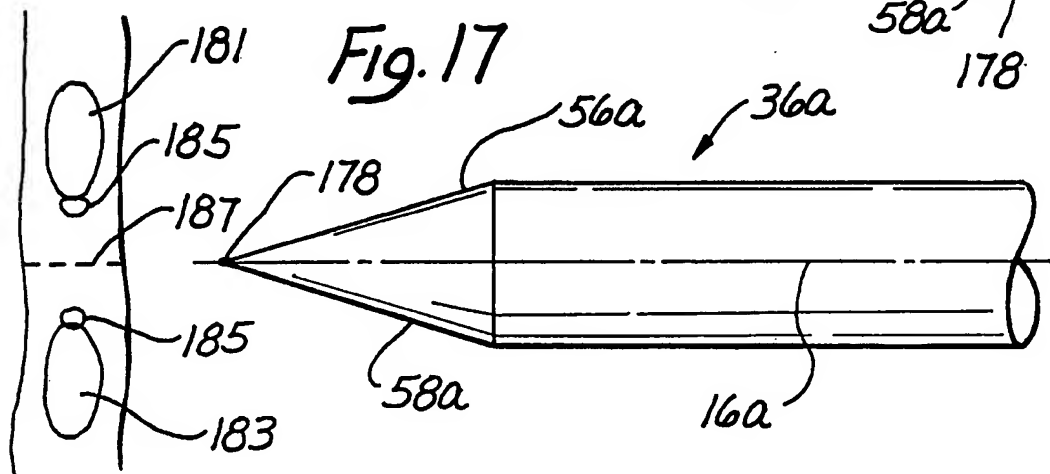
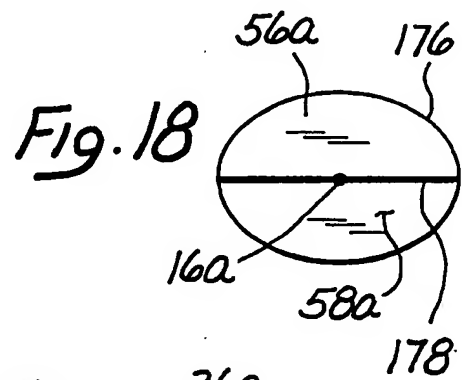
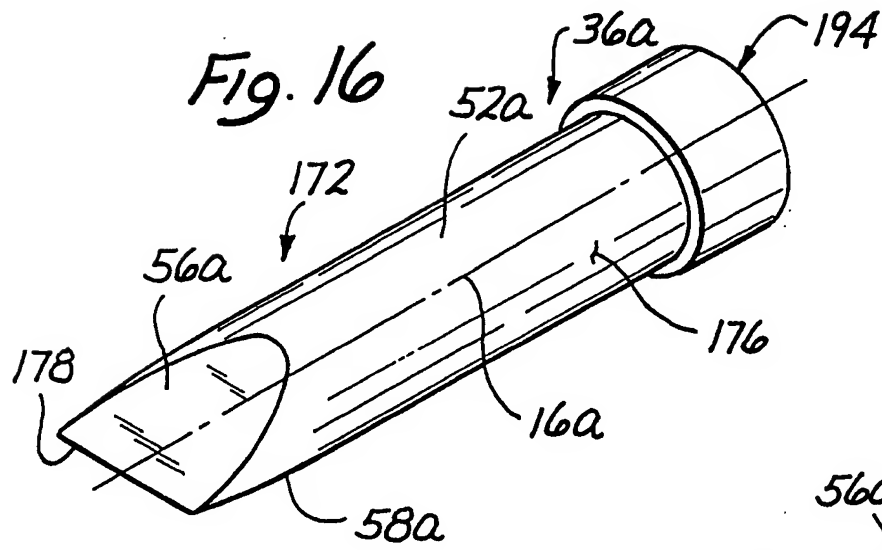


Fig. 11

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INTERNATIONAL SEARCH REPORT

International Application No. PCT/US92/01225

I. CLASSIFICATION OF SUBJECT MATTER (If several classification symbols apply, indicate all) * According to International Patent Classification (IPC) or to both National Classification and IPC IPC(5) A61N 5/06 U.S. CL. 606/181,184,7,12,13,14,15,27,28,32,41,42,45,50,29,48;609/269						
II. FIELDS SEARCHED <div style="text-align: center; border: 1px solid black; padding: 2px;">Minimum Documentation Searched ?</div> <table style="width: 100%; border-collapse: collapse;"> <tr> <th style="width: 30%; border: 1px solid black; text-align: left;">Classification System</th> <th style="width: 70%; border: 1px solid black; text-align: left;">Classification Symbols</th> </tr> <tr> <td style="border: 1px solid black; padding: 5px;">U.S.</td> <td style="border: 1px solid black; padding: 5px;">606/45, 48, 29</td> </tr> </table> <div style="text-align: center; border: 1px solid black; padding: 2px;">Documentation Searched other than Minimum Documentation to the extent that such Documents are included in the Fields Searched *</div>			Classification System	Classification Symbols	U.S.	606/45, 48, 29
Classification System	Classification Symbols					
U.S.	606/45, 48, 29					
III. DOCUMENTS CONSIDERED TO BE RELEVANT *						
Category *	Citation of Document, " with indication, where appropriate, of the relevant passages "3	Relevant to Claim No. "3				
Y	US, A, 4,986,814 (BURNEY ET AL) 22 January 1991 See entire document	1-39				
Y	US, A, 3,595,239 (PETERSEN) 27 July 1971 See entire document	1-39				
Y,P	US, A, 5,057,099 (RINK) 15 October 1991 See entire document	1-39				
Y	US, A, 4,850,353 (STASZ ET AL) 25 July 1989 See entire document	1-39				
Y	US, A, 4,418,692 (GUAY) 06 December 1983 See entire document	1-39				
A	US, A, 4,043,342 (MORRISON, JR.) 25 August 1977 See entire document	1-39				
A,P	US, A, 5,009,656 (REIMELS) 23 April 1991 See entire document	1-39				
A	US, A, 1,741,461 (HERMAN) 31 December 1929 See entire document	1-39				
<div style="display: flex; justify-content: space-between;"> <div style="width: 45%;"> <p>* Special categories of cited documents: *</p> <p>"A" document defining the general state of the art which is not considered to be of particular relevance</p> <p>"E" earlier document but published on or after the international filing date</p> <p>"L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)</p> <p>"O" document referring to an oral disclosure, use, exhibition or other means</p> <p>"P" document published prior to the international filing date but later than the priority date claimed</p> </div> <div style="width: 45%;"> <p>"F" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention</p> <p>"X" document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step</p> <p>"Y" document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art.</p> <p>"A" document member of the same patent family</p> </div> </div>						
IV. CERTIFICATION						
Date of the Actual Completion of the International Search 14 April 1992 International Searching Authority ISA/US		Date of Making of this International Search Report 05 MAY 1992 Signature of Authorized Officer P. A. ASCHENBRENNER INTERNATIONAL DIVISION				

III. Documents Considered To Be Relevant (Continued)

Category	Citation of Document	Relevant to Claim No.
A, P	US, A, 4,750,489 (BERKMAN ET AL) 14 June 1991, See entire document	I-39